

1       **Topography-based estimation of evapotranspiration at**  
2       **high altitudes in semi-arid regions**

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14       **Abstract.** Assessing the surface water balance of mountains is a real challenge given notably  
15       the extreme variability of meteorological conditions and the sparsity of in situ monitoring. While  
16       mountains are recognized as water towers feeding the surrounding plains, there is only unconsol-  
17       idated knowledge about the individual water balance components especially the evapotranspira-  
18       tion (ET). Satellites Land Surface Temperature (LST) along with air temperature (Ta) and global  
19       solar radiation (Rg) can be used to assess the energy budget and provide a reasonable estimation  
20       of instantaneous ET. Nevertheless, over mountains the Ta and Rg respectively undergo strong  
21       topographical changes due to elevation and sun exposure effects. Moreover, upscaling the instan-  
22       taneous ET to its daily value is expected to be uncertain in mountains as the evaporative fraction  
23       (EF, defined as the ratio of ET to available energy ratio) of a given pixel can no longer be con-  
24       sidered constant during daytime until proven otherwise. In this context, this contribution focuses  
25       on a topography-based estimation of ET using the Two Source Energy Balance (TSEB) model.  
26       We also examine the variability of hourly and daily EF estimates through both satellite and in  
27       situ monitoring. An eddy covariance tower was installed at 3850 m.a.s.l over the High Atlas  
28       Mountains in central Morocco and has been operating since September 2020 to present. 30 m  
29       resolution LST is derived from thermal data collected by Landsat-7, 8 and 9 on clear sky days.  
30       Rg is estimated at the Landsat (30 m) resolution from the SRTM's Digital Elevation Model  
31       (DEM) and two different topography-based approaches: a physically based model (DART) and  
32       a simplified semi-empirical model. The 9 km resolution ERA5-Land's air temperature product is  
33       spatialized at the same (30 m) resolution by applying the environmental lapse rate (ELR) re-  
34       trieved at the Landsat overpass time over a 9 Km<sup>2</sup> area including the eddy covariance tower.  
35       Satellite-derived estimates of ET and EF are compared to instantaneous station measurements for  
36       three and nine dates in 2020 and 2021 respectively. The variability during daytime of the in-situ  
37       EF is also assessed to evaluate the potential for upscaling instantaneous remotely sensed ET to a  
38       daily scale.

39       **Keywords:** Evapotranspiration, Topography, Evaporative Fraction, Remote  
40       Sensing, Energy-balance.

41       **1 Introduction**

42       The estimation of evapotranspiration (ET) in mountainous areas using temperature-  
43       based models that rely on remotely sensed Land Surface Temperature (LST) is chal-

44 lenging due to the influence of topographical effects. The elevation-dependent temper-  
 45 ature ( $T_a$ ) and incoming radiation ( $R_g$ ) from adjacent surfaces make it difficult to spa-  
 46 tially distribute the necessary inputs. To overcome this challenge, a new methodologi-  
 47 cal approach is proposed in this study. This approach considers these effects prior to  
 48 estimating ET in mountainous regions.

## 49 2 Materials and Methods

50 The study area is the Rheraya sub-basin located in the High Atlas Mountains in central  
 51 Morocco. The area has a semi-arid climate, and its elevation varies from about 1000 to  
 52 4127 meters. The high-altitude regions are characterized by low temperatures, rugged  
 53 terrain, and sparse vegetation cover.

54 Malbeteau et al. [1] normalized LST for topographical effects. Dynamic environmental  
 55 lapse rate (ELR) was physically inverted through an energy balance model, whereas  $R_g$   
 56 was spatialized based on the DART model [2].  $T_a$  is then spatialized following the  
 57 expression:

$$T_{pixel} = T_{station} + ELR(E_{pixel} - E_{station}) \quad (1)$$

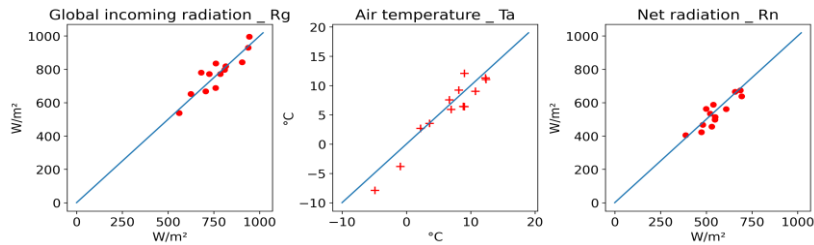
58 We adapted a similar methodological approach through replacing in-situ measurements  
 59 with ERA5-Land meteorological data.  $R_g$  was estimated using an alternative approach  
 60 based on direct radiation [3] instead of DART (which is potentially very precise but  
 61 difficult to apply over large areas).

62 ET is estimated as a residual of the two-source energy balance (TSEB) model. The  
 63 latter calculates the energy balance of the soil-canopy-atmosphere continuum, where  
 64 transpiration is initially determined by the Priestley-Taylor equation [4]. In our case the  
 65 model is triggered by the aforementioned meteorological forcing and the LST.

## 66 3 Results

### 67 3.1 $T_a$ and $R_g$ spatialization

68 Figure 1 depicts scatterplots of simulated  $R_g$  and  $T_a$  compared to in-situ observations  
 69 using the Samani [3] and ELR methods, respectively. In addition, it compares measured  
 70 and simulated  $R_n$  based on the resulting  $R_g$  and  $T_a$ , as well as LST.  $R_g$ ,  $T_a$ , and  $R_n$   
 71 each have RMSE values of 49.80 W/m<sup>2</sup>, 1.9 °C, and 43.30 W/m<sup>2</sup> correspondingly.  
 72 0.80, 0.85, and 0.76 are the R<sup>2</sup> values for the same variables in the same sequence.

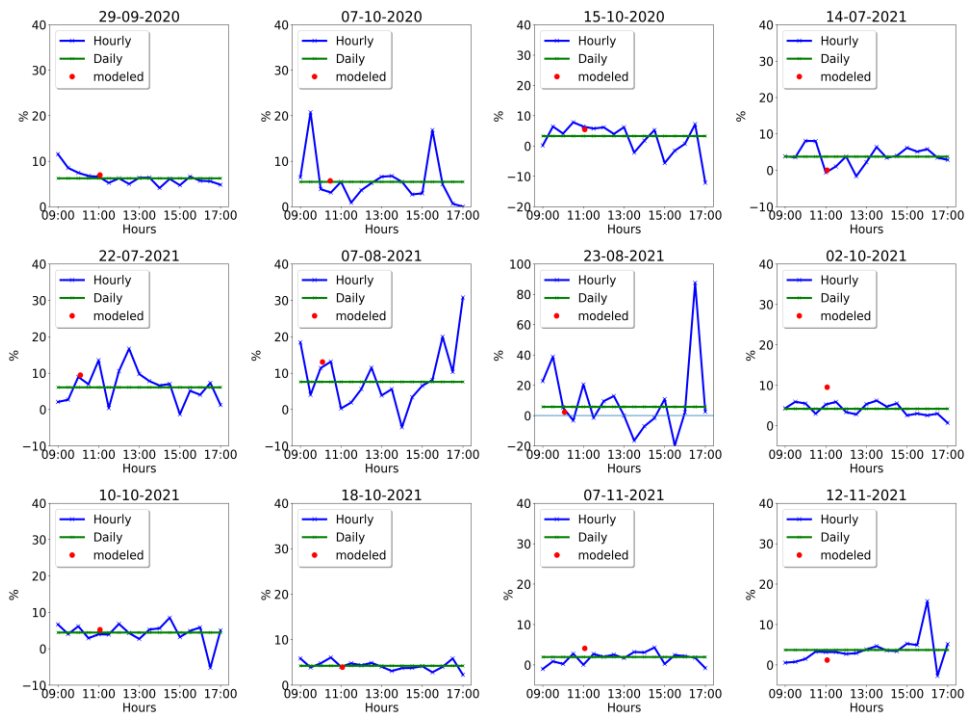


73 **Fig. 1.** Scatterplots of measured vs modeled Rg, Ta and Rn at the top-left corner, top-right  
 74 corner, and the bottom respectively.

### 75 3.2 EF daily variation

76 Figure 2 compares the model-based instantaneous EF estimate at the satellite over-  
 77 pass to hourly and daily measurements. The satellite's midday overpass gives an interesting  
 78 configuration; the projected instantaneous EF values are fairly close to the daily  
 79 observed EF. The highest recorded bias is approximately 5%, which corresponds to the  
 80 7th of August 2021, when the observed EF around sunset rises abruptly due to instru-  
 81 mentation faults.

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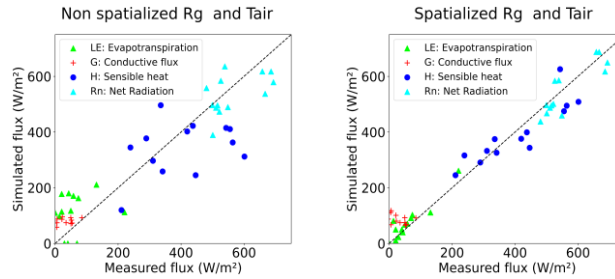
83 **Fig. 2.** Instantaneous remotely sensed (red dot) vs daily/hourly (green line/blue line) in-situ  
 84 measured EF.

### 85 3.3 ET estimation

86 Taking topographic effects into account in Figure 3 results in an RMSE of 27.08 W/m<sup>2</sup>  
 87 and an R<sup>2</sup> of 0.81 for the simulated ET; for Rn, these values are 42.73 W/m<sup>2</sup> and 0.67;  
 88 and for the sensible heat flux (H), they are 56.43 W/m<sup>2</sup> and 0.79. When topography is  
 89 considered, the findings mostly agree with the observations, except for the conductive  
 90 flux (G), which was approximated as a percentage of Rn (RMSE = 73.26 W/m<sup>2</sup>, R<sup>2</sup> =  
 91 0.12).

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93



94 **Fig. 3.** Comparison of topographically-affected and non-topographically-affected modeled  
 95 energy balance fluxes with Eddy-covariance observations.

## 96 **4 Discussion**

97 The results of our study indicate that the  $R_g$ ,  $T_a$ , and  $R_n$  spatialization techniques used  
 98 in the TSEB-PT model are highly effective in predicting instantaneous fluxes, with val-  
 99 ues that closely observations. Our analysis also revealed that the instantaneous EF could  
 100 potentially be used to extrapolate instantaneous to daily ET values, particularly when  
 101 the satellite overpass occurs around midday. This finding is consistent with previous  
 102 studies that have demonstrated the utility of the EF in estimating daily energy fluxes  
 103 over flat regions (e.g., Crago, R. D., 1996; Hoedjes et al., 2008). Furthermore, our study  
 104 highlights the significant influence of topography on modeled fluxes, as evidenced by  
 105 strong correlations between modeled and measured fluxes after daily extrapolation.  
 106 These results are consistent with previous studies that have shown the importance of  
 107 incorporating topographic information in energy flux estimation models (e.g., Rana et  
 108 al., 2007; Hao et al., 2021). Overall, our study contributes to the growing body of liter-  
 109 ature on energy flux estimation in terrestrial ecosystems and supports the use of the  
 110 TSEB-PT model in accurately predicting energy fluxes in various meteorological and  
 111 topographic conditions.

## 112 **5 Conclusions**

113 The estimation of ET over mountainous terrain is the primary emphasis of this work.  
 114 The removal of topographic influences is essential for achieving an accurate estimate  
 115 of the spatial variation in ET values. When topographic effects are considered, the  
 116 TSEB-PT model exhibits satisfactory performance. As a perspective, our aim is to es-  
 117 timate ET by applying a more straightforward contextual model, such as the LST-VI  
 118 model while considering topographic normalization of LST.

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